# A Rewriting Prolog Semantics (Kulas, 2000)

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## Outline

Real Fast Prolog Refresher

Representing Prolog Programs

Interpreting Prolog Programs Via Rewrites

- ► Prolog deals in Terms.
- ▶ Recursive tree structures. Arms of recursive definition:
  - ► Variable (e.g. X).
  - ► Functor and subtrees (edge (·,·,·)).
- Substitutions on terms send variables to terms:
  - edge(X,X,0) subject to [1/X] is edge(1,1,0).
- ► Two terms *unify* to produce a substitution which sends both of them to the same term:
  - ▶ edge(X,X,Y) unifies with edge(A,B,B) to produce [X/A][X/B][X/Y] and edge(X,X,X).
  - ► edge(1,X,3) unifies with edge(A,Y,B) to produce [1/A] [X/Y] [3/B] and edge(1,X,3).

#### ► Horn Clause:

- $\blacktriangleright$  Let  $x_i$  be positive literals.
- ► A Horn clause is then any disjunction of  $x_i$  with at most one positive literal:

$$\neg x_1 \lor \neg x_2 \lor \neg x_3 \cdots \lor x_n$$

► This can be interpreted as

$$(x_1 \wedge x_2 \wedge x_3 \wedge \ldots) \vdash x_n$$

- ► A Prolog program is a collection of Horn clauses.
- ▶ Written head :- subgoal1, subgoal2, ....
  - ► The , character acts *conjunctively*.
- ▶ Disjunct thusly: head :- way1. head :- way2.
- ► Evaluation is top-down, left-to-right.
  - ► The semantics of Prolog in one sentence.
- ► Base case: p(1) :- true. or just p(1).

- ▶ Given some subset  $\phi$  of a program, the *resolvent* of X is the right hand side of the first rule in  $\phi$  whose head unifies with X.
- ▶ In this context, X is termed a "goal"
- ▶ The driving force of a Prolog program is the initial goal.

- ► Prolog can be queried about failure of a goal (might not halt!).
- ► Called "failure as negation", denoted \+.
- ► Can have somewhat odd effects with non-ground queries:
  - in(X) :- \+(out(X)).
    out(alice).
  - ► out(alice) and in(bob) succeed.
  - ▶ in(X) fails!
    - ▶ because out(alice) is true, out(X) is true for some X.

- └ Cuts
- ► Oh, sigh, the cut.
- ► The cut is a primitive operator which inhibits backtracking, denoted !.

```
r := p(X), !, q(X).
p(1).
```

- p(1).
  - q(2).
- ▶ In the above program, r will fail:
  - ▶ X will be set to 1.
  - ▶ q(1) is not provable.
  - ► So we will backtrack...
  - ► Across a cut, which fails.
- ▶ This is said to be a "red cut" (alters semantics).
  - ► Alternative is a "green cut"

- ▶ Meta-call exists to encapsulate the behavior of cuts.
- ► Consider: r := call((p(X) , !, q(X))), r(X).
- ▶ It is OK for r(X) to fail, in which case the call will be retried.
- ► It is not OK for q(X) to fail this will fail the call, and r by extension.

- ► Transfer of control up the stack (generalized cut).
  - ► Similar try/except/raise or raise/handle in other languages.
- ► Catch with catch (Goal, Catcher, Recover).
- ► Throw with throw (Ball).
  - ▶ Ball is unified with Catcher to see if the pattern matches.
  - ▶ If so, the substitution is used to process *Recover*.
  - ► If not, control moves further up the stack, to the outer catch goal.

- ▶ findall (*Instance*, *Goal*, *List*) succeds if List is unifiable with the list of all terms unifiable with Instance which make Goal provable.
- bagof(Instance, Goal, List) similar, except considers free variables in Goal (not occuring in Instance). Can be backtracked into to get new Lists for each grounding of free variables.
- ► setof(Instance, Goal, List) is like bagof except sorted and without duplicates.

- ▶ asserta (X) alters the program to behave as if X had been a rule.
- retract (X) alters the program to remove (the first ocurrence of) X.
- ► Note that choice points made earlier do not get updated in either case!
  - ► That is, upon backtracking, we will not consider newly asserted rules until we begin a new subgoals; even then the consideration will be confined to subgoals.
  - Also, deleting a rule we used to get to the current state does not alter the current state.

- ▶ repeatis a built-in, definable as repeat. repeat: repeat.
- ▶ Disjunctive syntactic sugar: x :- X; Y; Z same as x :- X. x :- Y. x :- Z except that cuts effect the whole rule.
- ▶ If-then-else syntactic sugar: x :- If -> Then; Else same as x :- If, !, Then. x :- Else. except that any cuts in Then or Else extend beyond the if-then-else.

- $\sigma_i$  is a substitution.
  - **u** undoes a substitution.
  - $\epsilon$  denotes the identity substitution.
- ∧ denotes the empty string.
- $\mathbf{P} \llbracket \Pi \rrbracket$  is the prolog program under definition.
  - $G_0$  is the top-level goal ( $G_i$  are subgoals).
- $\mathbf{D}_{\phi_j} \, \llbracket G_j 
  rbracket$  is the "derivation operator" with current goal  $G_i$  and context  $\phi_j$ .
- $\mathbf{C}_{\phi_i} \llbracket G_j \rrbracket$  is a continuation for goal  $G_j$  with context  $\phi_i$ .
  - denotes the end of the world.

- ► Goals inside derivations may be lists of derivations.
  - ▶ Pattern match head, tail as  $X, \vec{Y}$ .
- ▶ Rules sometimes write  $\mathbf{C}_{(\phi)}$  **[**[G]**]** to mean that  $\phi$  is optional.
  - ▶ That is that the rewrite happens to both continuations with and without a context  $\phi$ .

A Rewriting Prolog Semantics (Kulas, 2000)

☐ Representing Prolog Programs
☐ Contexts

- ► Contexts are subsets of the database whose rules obey some property.
- ▶ Used to filter rules down to the set not yet tried.

└ Primitives

- ▶ The algorithm we'll discuss later assumes a few primitives.
- The most general of these are builtin (X) is true if X is a builtin goal of the language. var (X) is true if X is a variable. suffix (X, Y) is true if Y unifies with a suffix of X. fresh (X) returns a copy of X with all variables new. unify (X, Y) resolve  $(X, \phi)$  resolves a goal X in context  $\phi$ .
- ▶ Resolution returns:
  - ▶ The resolvent (that is, an additional list of goals).
  - ► The substitution required to continue.
  - ► The remaining context.

- ► Also assume the exitence of primitives for manipulating the program.
- ▶ addclause  $(X,\Pi)$  returns a new program obtained by adding clause X at the end of program  $\Pi$ .
- ▶ delfirstclause  $(X,\Pi)$  returns a new program obtained by removing the first instance of rule X from  $\Pi$ .

A Rewriting Prolog Semantics (Kulas, 2000)

☐ Representing Prolog Programs
☐ Composing Substitutions

- ▶  $X\sigma$  means "apply  $\sigma$  to term X."
- ▶  $\sigma_1\sigma_2$  composes left to right.
- ▶  $\sigma$ **u** is equivalent to  $\epsilon$ .

▶ We start the derivation of  $G_0$  in  $\Pi$  with the string

$$P \llbracket \Pi \rrbracket D \llbracket G_0 \rrbracket \bullet$$

- ► Unless important, frequently omit **P** [П].
- ► A successful derivation looks like

$$\mathbf{P} \llbracket \Pi \rrbracket \, \sigma_1 \sigma_2 \cdots \sigma_n \mathbf{C}_{\phi_{n-1}} \llbracket G_{n-1} \rrbracket \cdots \mathbf{C}_{\phi_1} \llbracket G_1 \rrbracket \, \mathbf{C}_{\phi_0} \llbracket G_0 \rrbracket$$

- ▶ Derivations with answers, or failure, are *stable* 
  - ► they do not rewrite further

└─ Some Notes

▶ The strings generated here will tend to look like this:

$$\underbrace{\mathbf{P} \, \llbracket \Pi \rrbracket}_{\text{program}} \underbrace{\sigma_1 \epsilon \mathbf{u} \sigma_2 \cdots \sigma_n \mathbf{u}}_{\text{substitutions}} \underbrace{\mathbf{D}_{\phi_k} \, \llbracket G_k \rrbracket}_{\text{derivation}} \underbrace{\mathbf{C}_{\phi_{k-1}} \, \llbracket G_{k-1} \rrbracket \cdots \mathbf{C}_{\phi_0} \, \llbracket G_0 \rrbracket}_{\text{end}} \underbrace{\bullet}_{\text{end}}$$

- ▶ The rewrite rules will all be global substitutions.
  - ▶ But there will be only one place they can apply.
  - ► For example, any rule of the form  $\sigma \mathbf{D}_{\phi} \llbracket Y \rrbracket \Rightarrow \cdots$  can only apply at the interface of the substitutions and the derivation.
  - ► Similarly,  $\sigma \mathbf{C}_{\phi} \llbracket Y \rrbracket \Rightarrow \cdots$  can only apply when there is no derivation.

Extracting an Answer

► Given a stable state *S*, define ExtractAnswer(*S*) as the exhaustive application of

$$\sigma \mathbf{u} \Rightarrow \wedge, \quad \mathbf{C}_{(\phi)} \llbracket X \rrbracket \Rightarrow \wedge$$

- ▶ That is:
  - erase all cancelled substitutions (backtracking).
  - erase all continuations (remaining options).

► Given a stable state *S*, define StartBacktracking(*S*) as the rewrite

$$\sigma \mathbf{C}_{(\phi)} \llbracket X \rrbracket \Rightarrow \sigma \mathbf{u} \mathbf{C}_{(\phi)} \llbracket X \rrbracket$$

- ► That is, undo the last substitution
  - This can only apply at the unique interface of substitutions and continuations.
- ▶ This will now rewrite and will return subsequent answers.

User-defined predicates

- ► Three rules for user predicates.
- ► First, contextualization:

$$\mathbf{D}\left[\!\left[X,\vec{Y}\right]\!\right] \Rightarrow \mathbf{D}_{\phi}\left[\!\left[X,\vec{Y}\right]\!\right]$$

### Where

- ► Requires *X* not a builtin predicate.
- $\phi$  is the definition of the predicate X.

User-defined predicates

- ► Three rules for user predicates.
- ► Second, resolution:

$$\mathbf{D}_{\phi} \left[\!\!\left[ X, \vec{Y} \right]\!\!\right] \Rightarrow \sigma \mathbf{D} \left[\!\!\left[ (R, \vec{Y}) \sigma \right]\!\!\right] \mathbf{C}_{\phi'} \left[\!\!\left[ X, \vec{Y} \right]\!\!\right]$$

#### Where

- Resolving X in context  $\Phi$  yielded
  - $\blacktriangleright$  a substitution  $\sigma$ .
  - ► a resolvent *R*.
  - $\blacktriangleright$  a remaining context  $\Phi'$ .

User-defined predicates

- ► Three rules for user predicates.
- ► Third, the possibility of failure:

$$\mathbf{D}_{\phi}\left[\!\left[X,\vec{Y}
ight]\!\right]\Rightarrow\mathbf{u}$$

### Where

▶ Resolving X in context  $\Phi$  failed (yielded  $\mathbf{u}$  for the substitution).

☐ Backtracking

- ► Backtracking happens when there is no derivation and the last substitution is **u**.
  - ► That is, the derivation has failed.
- ► No options left (backtrack further) :

$$\mathbf{uC}_{\emptyset} \llbracket X \rrbracket \Rightarrow \mathbf{uu}$$

▶ No context (start fresh derivation of *X*):

$$uC [X] \Rightarrow uD [X]$$

► Try again:

$$\mathsf{uC}_{\phi} \llbracket X \rrbracket \Rightarrow \mathsf{uD}_{\phi} \llbracket X \rrbracket$$

- ► Easy to take care of some simple builtins of Prolog.
- ► Can also raise errors on variable goals, as with Prolog.
- ▶ Let Halt and Error be strings that do not rewrite.

$$\begin{array}{lll} \text{true} & \textbf{D} & \begin{bmatrix} \text{true}, \vec{Y} \end{bmatrix} & \Rightarrow \textbf{D} & \begin{bmatrix} \vec{Y} \end{bmatrix} & \text{``no operation''} \\ \text{fail} & \textbf{D} & \begin{bmatrix} \text{fail}, \vec{Y} \end{bmatrix} & \Rightarrow \textbf{u} & \text{cf. StartBacktracking.} \\ \text{halt} & \textbf{D} & \begin{bmatrix} \text{halt}, \vec{Y} \end{bmatrix} & \Rightarrow \textbf{Halt} \\ & \textbf{D} & X, \vec{Y} \end{bmatrix} & \Rightarrow \textbf{Error} & \text{if $X$ is a variable.} \end{array}$$

▶ Empty derivations vanish:  $\mathbf{D} \llbracket \wedge \rrbracket \Rightarrow \wedge$ .

► At this point, we can give an example of a simple program (cf. Section 4.1).

$$p(1) := p(2), p(3).$$
 %  $K_1$   
 $p(2) := p(4).$  %  $K_2$   
 $p(4).$  %  $K_3$ 

- $ightharpoonup K_i$  are clause labels (for contexts).
- ► Our toplevel goal will be p(X).

A Rewriting Prolog Semantics (Kulas, 2000)

Interpreting Prolog Programs Via Rewrites

Example of the Basics

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

► Contextualize the derivation:

$$\mathbf{D} \llbracket p(X) \rrbracket \bullet$$

$$\Rightarrow \mathbf{D}_{\{K_1, K_2, K_3\}} \llbracket p(X) \rrbracket \bullet$$

$$p(1) := p(2), p(3).$$
 %  $K_1$   
 $p(2) := p(4).$  %  $K_2$   
 $p(4).$  %  $K_3$   
 $p(4)$ 

$$\mathbf{D} [\![ p(X) ]\!] \bullet 
\Rightarrow \mathbf{D}_{\{K_1, K_2, K_3\}} [\![ p(X) ]\!] \bullet 
\Rightarrow [1/X] \mathbf{D} [\![ p(2), p(3) ]\!] \mathbf{C}_{\{K_2, K_3\}} [\![ p(X) ]\!] \bullet$$

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

▶ Contextualize and apply  $K_2$ :

$$\begin{split} & \mathbf{D} \, \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow^* \, \llbracket 1/X \rrbracket \, \mathbf{D} \, \llbracket p(2), p(3) \rrbracket \, \mathbf{C}_{\{K_2, K_3\}} \, \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow \, \, \llbracket 1/X \rrbracket \, \mathbf{D}_{\{K_1, K_2, K_3\}} \, \llbracket p(2), p(3) \rrbracket \, \mathbf{C}_{\{K_2, K_3\}} \, \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow \, \, \llbracket 1/X \rrbracket \, \epsilon \mathbf{D} \, \llbracket p(4), p(3) \rrbracket \, \mathbf{C}_{\{K_3\}} \, \llbracket p(2), p(3) \rrbracket \, \mathbf{C}_{\{K_2, K_3\}} \, \llbracket p(X) \rrbracket \bullet \end{split}$$

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

▶ Contextualize and apply  $K_3$ :

$$\mathbf{D} \llbracket p(X) \rrbracket \bullet 
\Rightarrow^* [1/X] \epsilon \mathbf{D} \llbracket p(4), p(3) \rrbracket \mathbf{C}_{\{K_3\}} \llbracket p(2), p(3) \rrbracket \mathbf{C}_{\{K_2, K_3\}} \llbracket p(X) \rrbracket \bullet 
\Rightarrow [1/X] \epsilon \mathbf{D}_{\{K_1, K_2, K_3\}} \llbracket p(4), p(3) \rrbracket \mathbf{C}_{\{K_3\}} \llbracket p(2), p(3) \rrbracket \dots$$

$$\Rightarrow \hspace{0.1cm} \llbracket 1/X \rrbracket \hspace{0.1cm} \epsilon \epsilon \mathbf{D} \hspace{0.1cm} \llbracket true, \hspace{0.1cm} p(3) \rrbracket \hspace{0.1cm} \mathbf{C}_{\emptyset} \hspace{0.1cm} \llbracket p(4), \hspace{0.1cm} p(3) \rrbracket \hspace{0.1cm} \mathbf{C}_{\{K_3\}} \hspace{0.1cm} \llbracket p(2), \hspace{0.1cm} p(3) \rrbracket \hspace{0.1cm} \ldots$$

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

► Apply the rule for true:

$$\mathbf{D} [\![ p(X) ]\!] \bullet 
\Rightarrow^* [\![ 1/X ]\!] \epsilon \epsilon \mathbf{D} [\![ \text{true}, p(3) ]\!] \mathbf{C}_{\emptyset} [\![ p(4), p(3) ]\!] \mathbf{C}_{\{K_3\}} [\![ p(2), p(3) ]\!] \dots 
\Rightarrow [\![ 1/X ]\!] \epsilon \epsilon \mathbf{D} [\![ p(3) ]\!] \mathbf{C}_{\emptyset} [\![ p(4), p(3) ]\!] \mathbf{C}_{\{K_3\}} [\![ p(2), p(3) ]\!] \dots$$

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

► Contextualize and fail (no derivation of p(3)):

$$\begin{split} & \mathbf{D} [\![ p(X) ]\!] \bullet \\ & \Rightarrow^* [1/X] \, \epsilon \epsilon \mathbf{D} [\![ p(3) ]\!] \, \mathbf{C}_{\emptyset} [\![ p(4), p(3) ]\!] \, \mathbf{C}_{\{K_3\}} [\![ p(2), p(3) ]\!] \dots \\ & \Rightarrow [1/X] \, \epsilon \epsilon \mathbf{D}_{\{K_1, K_2, K_3\}} [\![ p(3) ]\!] \, \mathbf{C}_{\emptyset} [\![ p(4), p(3) ]\!] \dots \\ & \Rightarrow [1/X] \, \epsilon \epsilon \mathbf{u} \mathbf{C}_{\emptyset} [\![ p(4), p(3) ]\!] \dots \end{split}$$

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

▶ Backtrack, fail, and backtrack again:

# $\mathbf{D}\left[\!\left[ p(X)\right]\!\right] \bullet$

$$\Rightarrow^* [1/X] \, \epsilon \epsilon \mathbf{u} \mathbf{C}_{\emptyset} \, \llbracket p(4), p(3) \rrbracket \, \mathbf{C}_{\{K_3\}} \, \llbracket p(2), p(3) \rrbracket \, \mathbf{C}_{\{K_2, K_3\}} \, \llbracket p(X) \rrbracket \, \bullet$$

$$\Rightarrow \ [1/X] \, \epsilon \epsilon \mathsf{uuC}_{\{K_3\}} \, [\![ p(2), p(3) ]\!] \, \mathbf{C}_{\{K_2, K_3\}} \, [\![ p(X) ]\!] \, \bullet$$

$$\Rightarrow [1/X] \epsilon \epsilon \mathbf{u} \mathbf{u} \mathbf{D}_{\{K_3\}} \llbracket p(2), p(3) \rrbracket \mathbf{C}_{\{K_2, K_3\}} \llbracket p(X) \rrbracket \bullet$$

$$\Rightarrow [1/X] \epsilon \epsilon \mathbf{uuuC}_{\{K_2,K_3\}} \llbracket p(X) \rrbracket \bullet$$

$$\Rightarrow [1/X] \epsilon \epsilon \mathbf{uuu} \mathbf{D}_{\{K_2,K_3\}} \llbracket p(X) \rrbracket \bullet$$

Example of the Basics

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

▶ Invoke  $K_2$  (from top-level goal, having failed  $K_1$ ):

$$\begin{split} & \mathbf{D} \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow^* [1/X] \, \epsilon \epsilon \mathbf{u} \mathbf{u} \mathbf{u} \mathbf{D}_{\{K_2, K_3\}} \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow [1/X] \, \epsilon \epsilon \mathbf{u} \mathbf{u} \mathbf{u} [2/X] \, \mathbf{D} \llbracket p(4) \rrbracket \, \mathbf{C}_{\{K_3\}} \llbracket p(X) \rrbracket \bullet \end{split}$$

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

► Contextualize and apply *K*<sub>3</sub> and rule for true:

```
\begin{split} & \mathbf{D} \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow^* \llbracket 1/X \rrbracket \epsilon \epsilon \mathbf{uuu} \llbracket 2/X \rrbracket \mathbf{D} \llbracket p(4) \rrbracket \mathbf{C}_{\{K_3\}} \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow \llbracket 1/X \rrbracket \epsilon \epsilon \mathbf{uuu} \llbracket 2/X \rrbracket \mathbf{D}_{\{K_1,K_2,K_3\}} \llbracket p(4) \rrbracket \mathbf{C}_{\{K_3\}} \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow \llbracket 1/X \rrbracket \epsilon \epsilon \mathbf{uuu} \llbracket 2/X \rrbracket \epsilon \mathbf{D} \llbracket \mathbf{true} \rrbracket \mathbf{C}_{\emptyset} \llbracket p(4) \rrbracket \mathbf{C}_{\{K_3\}} \llbracket p(X) \rrbracket \bullet \\ & \Rightarrow \llbracket 1/X \rrbracket \epsilon \epsilon \mathbf{uuu} \llbracket 2/X \rrbracket \epsilon \mathbf{C}_{\emptyset} \llbracket p(4) \rrbracket \mathbf{C}_{\{K_3\}} \llbracket p(X) \rrbracket \bullet \end{split}
```

▶ This does not step. It therefore represents an answer.

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

 $[2/X] \epsilon \bullet$ 

► So let's extract it!

[1/X] 
$$\epsilon \epsilon u u u [2/X] \epsilon \mathbb{C}_{\emptyset} \llbracket p(4) \rrbracket \mathbb{C}_{\{K_3\}} \llbracket p(X) \rrbracket \bullet$$
[1/X]  $\epsilon \epsilon u u u [2/X] \epsilon \bullet$ 
[1/X]  $\epsilon u u [2/X] \epsilon \bullet$ 
[1/X]  $u [2/X] \epsilon \bullet$ 

 $\mathbf{D} \llbracket p(X) \rrbracket \bullet \Rightarrow^* [1/X] \epsilon \epsilon \mathbf{uuu} [2/X] \epsilon \mathbf{C}_{\emptyset} \llbracket p(4) \rrbracket \mathbf{C}_{\{K_3\}} \llbracket p(X) \rrbracket \bullet$ 

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

► Let's get the next answer.

$$\begin{aligned} & \mathbf{D} \left[\!\!\left[ p(X) \right]\!\!\right] \bullet \\ & \Rightarrow^* \left[ 1/X \right] \epsilon \epsilon \mathbf{uuu} \left[ 2/X \right] \epsilon \mathbf{C}_{\emptyset} \left[\!\!\left[ p(4) \right]\!\!\right] \mathbf{C}_{\left\{ \mathcal{K}_3 \right\}} \left[\!\!\left[ p(X) \right]\!\!\right] \bullet \end{aligned} \\ & \text{StartBacktracking}(\cdots) \\ & = \left[ 1/X \right] \epsilon \epsilon \mathbf{uuu} \left[ 2/X \right] \epsilon \mathbf{u} \mathbf{C}_{\emptyset} \left[\!\!\left[ p(4) \right]\!\!\right] \mathbf{C}_{\left\{ \mathcal{K}_3 \right\}} \left[\!\!\left[ p(X) \right]\!\!\right] \bullet \end{aligned}$$

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Example of the Basics

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

▶ Backtrack

## $StartBacktracking(\cdots)$

- $= \quad [1/X] \, \epsilon \epsilon \mathsf{uuu} \, [2/X] \, \epsilon \mathsf{u} \mathbf{C}_{\emptyset} \, \llbracket p(4) \rrbracket \, \mathbf{C}_{\{K_3\}} \, \llbracket p(X) \rrbracket \, \bullet \,$
- $\Rightarrow [1/X] \epsilon \epsilon uuu [2/X] \epsilon uuC_{\{K_3\}} [p(X)] \bullet$
- $\Rightarrow \ [1/X] \, \epsilon \epsilon \mathsf{uuu} \, [2/X] \, \epsilon \mathsf{uu} \mathsf{D}_{\{K_3\}} \, \llbracket p(X) \rrbracket \, \bullet$

Example of the Basics

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

▶ Apply  $K_3$  and the rule for true:

```
\begin{split} &\mathsf{StartBacktracking}(\cdots) \\ &\Rightarrow^* [1/X] \, \epsilon \epsilon \mathsf{uuu} \, [2/X] \, \epsilon \mathsf{uu} \, \mathbf{D}_{\{K_3\}} \, \llbracket p(X) \rrbracket \, \bullet \\ &\Rightarrow \, [1/X] \, \epsilon \epsilon \mathsf{uuu} \, [2/X] \, \epsilon \mathsf{uu} \, [4/X] \, \mathbf{D} \, \llbracket \mathsf{true} \rrbracket \, \mathbf{C}_{\emptyset} \, \llbracket p(X) \rrbracket \, \bullet \\ &\Rightarrow \, [1/X] \, \epsilon \epsilon \mathsf{uuu} \, [2/X] \, \epsilon \mathsf{uu} \, [4/X] \, \mathbf{C}_{\emptyset} \, \llbracket p(X) \rrbracket \, \bullet \end{split}
```

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$$p(1) := p(2), p(3). \% K_1$$
 $p(2) := p(4). \% K_2$ 
 $p(4). \% K_3$ 

► Extract the second answer:

StartBacktracking(
$$\cdots$$
)
$$\Rightarrow^* [1/X] \epsilon \epsilon \operatorname{uuu} [2/X] \epsilon \operatorname{uu} [4/X] \mathbf{C}_{\emptyset} \llbracket p(X) \rrbracket \bullet$$

$$[1/X] \epsilon \epsilon \operatorname{uuu} [2/X] \epsilon \operatorname{uu} [4/X] \mathbf{C}_{\emptyset} \llbracket p(X) \rrbracket \bullet$$

$$[1/X] \epsilon \epsilon \operatorname{uuu} [2/X] \epsilon \operatorname{uu} [4/X] \bullet$$

$$[1/X] \epsilon \operatorname{uu} [2/X] \epsilon \operatorname{uu} [4/X] \bullet$$

$$[1/X] \epsilon \operatorname{uu} [2/X] \operatorname{u} [4/X] \bullet$$

$$[1/X] \epsilon \operatorname{uu} [4/X] \bullet$$

$$[1/X] \epsilon \operatorname{uu} [4/X] \bullet$$

$$[1/X] \operatorname{u} [4/X] \bullet$$

$$[4/X] \bullet$$

Example of the Basics

$$p(1) := p(2), p(3). \% K_1$$
  
 $p(2) := p(4). \% K_2$   
 $p(4). \% K_3$ 

► Backtrack once more?

StartBacktracking(···)
$$\Rightarrow^* [1/X] \epsilon \epsilon \mathbf{uuu} [2/X] \epsilon \mathbf{uu} [4/X] \mathbf{C}_{\emptyset} \llbracket p(X) \rrbracket \bullet$$
StartBacktracking(···)
$$= [1/X] \epsilon \epsilon \mathbf{uuu} [2/X] \epsilon \mathbf{uu} [4/X] \mathbf{uC}_{\emptyset} \llbracket p(X) \rrbracket \bullet$$

$$\Rightarrow [1/X] \epsilon \epsilon \mathbf{uuu} [2/X] \epsilon \mathbf{uu} [4/X] \mathbf{uu} \bullet$$

► This reduces to u•. There are no more answers.

## ▶ Unification either

- ▶ fails (triggering backtracking), or
- provides a substitution which applies to the answer and subsequent goals.

$$\mathbf{D} \begin{bmatrix} (X = Y), \vec{Z} \end{bmatrix}$$

$$\Rightarrow \begin{cases} \sigma \mathbf{D} \begin{bmatrix} Z\sigma \end{bmatrix} \mathbf{C}_{\emptyset} \begin{bmatrix} (X = Y), Z \end{bmatrix} & \text{if unify}(X, Y) = \sigma \\ \mathbf{u} & \text{if unify}(X, Y) \text{ failed} \end{cases}$$

- ► Suffix criterion:
  - ▶ Given that the current goal is  $X, \vec{Y}$ , the *parent* of X is the leftmost continuation whose argument does not have a suffix unifiable with  $X, \vec{Y}$ .
- ▶ Basic idea:
  - ► Empty all continuation contexts up until the parent of the cut.
- ► Example:

$$\mathbf{D} \, \llbracket !, p(X) \rrbracket \, \mathbf{C}_{\phi_1} \, \llbracket q(Y), !, p(Y) \rrbracket \, \underbrace{\mathbf{C}_{\phi_2} \, \llbracket a(X) \rrbracket}_{\mathsf{parent}} \bullet$$

- ► To do this in rewrite rules, we first want some markers:
  - **Pending** (X) Placeholder marker for rewrite in progress.

**Parent** (Y) Rightwards-moving search and replace marker.

**Return** Leftwards-moving completion marker.

▶ The rules are as expected from these definitions:

$$\begin{split} \mathbf{D} & \left[\!\left[ !,\vec{Y} \right]\!\right] \Rightarrow \mathbf{Pending} \left(\mathbf{D} \left[\!\left[ \vec{Y} \right]\!\right]\right) \mathbf{Parent} \left( (!,\vec{Y}) \right) \\ \mathbf{Parent} \left( G \right) \mathbf{C}_{(\phi)} \left[\!\left[ \mathcal{L} \right]\!\right] \Rightarrow \begin{cases} \mathbf{C}_{\emptyset} \left[\!\left[ \mathcal{L} \right]\!\right] \mathbf{Parent} \left( G \right) & \text{if suffix} (\mathcal{L},G) \\ \mathbf{Return} \mathbf{C}_{\emptyset} \left[\!\left[ \mathcal{L} \right]\!\right] & \text{otherwise} \end{cases} \\ \mathbf{Parent} \left( G \right) \bullet \Rightarrow \mathbf{Return} \bullet \\ \mathbf{C}_{\emptyset} \left[\!\left[ X \right]\!\right] \mathbf{Return} \Rightarrow \mathbf{Return} \mathbf{C}_{\emptyset} \left[\!\left[ X \right]\!\right] \\ \mathbf{Pending} \left( G \right) \mathbf{Return} \Rightarrow G \end{split}$$

- ► Actually, this has a bug. Anybody see it?
- ▶ What if
  - ► the program was a(X) :- q(X), !, p(X) and
  - ▶ the initial goal was call(a(Y)), !, p(Y)?
- ► We would mistakenly conclude that the ! in the a rule had no parent!
- ▶ We would empty all contexts back to •.
- ► Failure on the inside of the near cut would cause the entire program to fail.
- ▶ (We can fix this by using something like gensyms for !.)

► "Ought" to be as simple as

$$\mathbf{D}\left[ \mathsf{call}\left( X\right) ,\vec{Y}
ight] \Rightarrow\mathbf{D}\left[ X,\vec{Y}
ight]$$

- ► Except that that is transparent to a cut.
- ▶ Use "insulating layer" (empty continuation):

$$\mathbf{D}\left[\!\left[\mathsf{call}\left(X\right),\vec{Y}\right]\!\right]\Rightarrow\epsilon\mathbf{D}\left[\!\left[X,\vec{Y}\right]\!\right]\mathbf{C}_{\emptyset}\left[\!\left[\mathsf{call}\left(X\right),\vec{Y}\right]\!\right]$$

- ▶ once (*G*) takes the first possible solution to *G* and prohibits backtracking into other options.
- ▶ It may be thought of as once(G) :- G, !.
- ► As a builtin,

$$\mathbf{D}\left[\operatorname{once}\left(X\right),\vec{Y}
ight]\Rightarrow\mathbf{D}\left[\operatorname{call}\left(\left(X,!
ight)
ight),\vec{Y}
ight]$$

Want to ensure that the cut won't interfere with parent of once (X). └ Once

Expanding once more,

$$\mathbf{D} \left[ \mathsf{once} \left( X \right), \vec{Y} \right] \\ \Rightarrow^{2} \epsilon \mathbf{D} \left[ X, !, \vec{Y} \right] \mathbf{C}_{\emptyset} \left[ \mathsf{call} \left( \left( X, ! \right) \right), \vec{Y} \right]$$

- ▶ The cut's parent is the continuation shown.
- ▶ Therefore, if we backtrack out of  $\vec{Y}$ , we will keep going to the parent of the once (X).
  - ▶ Rather than just aborting, as we would with

$$\mathbf{D}\left[\mathsf{once}\left(X\right),\vec{Y}\right]\overset{!}{\Rightarrow}\mathbf{D}\left[\!\left[X,!,\vec{Y}\right]\!\right]$$

Repeat and Negation

► Repeat

$$\mathbf{D}\left[\!\!\left\lceil \mathsf{repeat},\vec{Y}\right]\!\!\right] \Rightarrow \epsilon \mathbf{D}\left[\!\!\left\lceil\vec{Y}\right]\!\!\right] \mathbf{C}\left[\!\!\left\lceil \mathsf{repeat},\vec{Y}\right]\!\!\right]$$

► Failure-as-negation:

$$\mathbf{D}\left[\!\left[ \left. \right. \right. + \left( \mathcal{G} \right), \vec{Y} \right]\!\right] \Rightarrow \epsilon \mathbf{D}\left[\!\left[ \mathsf{call}\left( \mathcal{G} \right), !, \mathsf{fail} \right]\!\right] \mathbf{C}\left[\!\left[ \vec{Y} \right]\!\right]$$

Repeat and Negation

- ► Failure-as-negation isn't so obvious.
- ► Let's say *G* is true (succeeds):

$$\begin{split} \mathbf{D} & \left[ \left[ \left\langle + \left( \mathsf{true} \right), \vec{Y} \right] \right] \right] \\ & \Rightarrow \ \epsilon \mathbf{D} \left[ \mathsf{call} \left( \mathsf{true} \right), !, \mathsf{fail} \right] \mathbf{C} \left[ \vec{Y} \right] \right] \\ & \Rightarrow \ \epsilon \epsilon \mathbf{D} \left[ \mathsf{true}, !, \mathsf{fail} \right] \mathbf{C}_{\emptyset} \left[ \mathsf{call} \left( G \right), !, \mathsf{fail} \right] \mathbf{C} \left[ \vec{Y} \right] \right] \\ & \Rightarrow^{*} \ \epsilon \epsilon \mathbf{D} \left[ !, \mathsf{fail} \right] \mathbf{C}_{\emptyset} \left[ \mathsf{call} \left( G \right), !, \mathsf{fail} \right] \mathbf{C} \left[ \vec{Y} \right] \right] \\ & \Rightarrow^{*} \ \epsilon \epsilon \mathbf{D} \left[ \mathsf{fail} \right] \mathbf{C}_{\emptyset} \left[ \mathsf{call} \left( G \right), !, \mathsf{fail} \right] \mathbf{C}_{\emptyset} \left[ \vec{Y} \right] \right] \\ & \Rightarrow \ \epsilon \epsilon \mathbf{u} \mathbf{u} \mathbf{C}_{\emptyset} \left[ \vec{Y} \right] \\ & \Rightarrow \ \epsilon \epsilon \mathbf{u} \mathbf{u} \mathbf{U} \mathbf{u} \mathbf{u} \end{aligned}$$

► Let's say *G* is fail:

$$\begin{split} & \mathbf{D} \left[ \left[ \left\langle + \left( \mathsf{fail} \right), \vec{Y} \right] \right] \\ & \Rightarrow \ \epsilon \mathbf{D} \left[ \mathsf{call} \left( \mathsf{fail} \right), !, \mathsf{fail} \right] \mathbf{C} \left[ \vec{Y} \right] \\ & \Rightarrow \ \epsilon \epsilon \mathbf{D} \left[ \mathsf{fail}, !, \mathsf{fail} \right] \mathbf{C}_{\emptyset} \left[ \mathsf{call} \left( G \right), !, \mathsf{fail} \right] \mathbf{C} \left[ \vec{Y} \right] \\ & \Rightarrow^{*} \ \epsilon \epsilon \mathbf{u} \mathbf{C}_{\emptyset} \left[ \mathsf{call} \left( G \right), !, \mathsf{fail} \right] \mathbf{C} \left[ \vec{Y} \right] \\ & \Rightarrow \ \epsilon \epsilon \mathbf{u} \mathbf{u} \mathbf{C} \left[ \vec{Y} \right] \\ & \Rightarrow \ \epsilon \epsilon \mathbf{u} \mathbf{u} \mathbf{D} \left[ \vec{Y} \right] \\ \end{split}$$

- ▶ Prolog: catch (Goal, Catcher, Recover) and throw (Ball).
- ▶ Introduce one more marker, **ThrowAnc**  $(\cdot, \cdot)$ .
- ▶ The rules are similar to those for cut:

$$\begin{aligned} \mathbf{D} \left[ & \mathsf{catch} \left( G, B, R, ., X \right) \right] \Rightarrow \epsilon \mathbf{D} \left[ \! \left[ G, X \right] \! \right] \mathbf{C} \left[ & \mathsf{catch} \left( G, B, R, ., X \right) \right] \\ & \mathbf{D} \left[ \! \left[ \mathsf{throw} \left( B \right), X \right] \right] \Rightarrow \mathbf{ThrowAnc} \left( Z, Z \right) \\ & \mathsf{where} \ Z \ \mathsf{is} \ \left( \mathsf{throw} \left( B \right), X \right) \end{aligned}$$

$$\mathbf{ThrowAnc} \left( T, X \right) \bullet \Rightarrow \mathbf{Error}$$

$$\begin{aligned} & \textbf{ThrowAnc}\left(T,X\right) \textbf{C}_{(\phi)} \left[\!\!\left[\mathcal{C}\right]\!\!\right] \\ & \Rightarrow \begin{cases} & \textbf{uThrowAnc}\left(T,X\right) & \text{if suffix}\left(\mathcal{C},X\right) \\ & \textbf{u}\sigma \textbf{D} \left[\!\!\left[\mathcal{R},\mathcal{Z}\right]\!\!\sigma\right]\!\!\right] \textbf{C}_{\emptyset} \left[\!\!\left[\mathcal{C}\right]\!\!\right] & \text{if } \neg \text{suffix}\left(\mathcal{C},X\right) \\ & & \& T = (\text{throw}\left(\mathcal{B}\right),Y\right) \\ & & \& \mathcal{C} = (\text{catch}\left(\mathcal{G},\mathcal{B}',\mathcal{R}\right),\mathcal{Z}) \\ & & \& \text{unify}\left(\text{fresh}\left(\mathcal{B}\right),\mathcal{B}'\right) = \sigma \\ & & \text{uThrowAnc}\left(T,\mathcal{C}\right) & \text{otherwise} \end{aligned}$$

▶ The freshening operation here is to ensure that unifications into Ball that have happened so far are undone. (*i.e.* can only pass ground structure up the stack).

- Simplified example from Section 4.2 (which is unnecessarily complex).
- r(X) :- throw(X).
  p :- catch(true, \_, fail), r(q).
- ► The goal here will be catch(p, C, true). Here goes:

```
\begin{split} & \mathbf{D} \left[ \mathsf{catch} \left( p, C, \mathsf{true} \right) \right] \bullet \\ & \Rightarrow^* \epsilon \mathbf{D} \left[ p \right] \mathbf{C}_{\emptyset} \left[ \mathsf{catch} \left( p, C, \mathsf{true} \right) \right] \bullet \\ & \Rightarrow^* \epsilon \epsilon \mathbf{D} \left[ \mathsf{catch} \left( \mathsf{true}, \_, \mathsf{fail} \right), r(q) \right] \mathbf{C}_{\emptyset} \left[ p \right] \mathbf{C}_{\emptyset} \left[ \mathsf{catch} \left( p, C, \mathsf{true} \right) \right] \bullet \\ & \Rightarrow^* \epsilon \epsilon \epsilon \mathbf{D} \left[ \mathsf{true}, r(q) \right] \mathbf{C} \left[ \mathsf{catch} \left( \mathsf{true}, \_, \mathsf{fail} \right), r(q) \right] \mathbf{C}_{\emptyset} \left[ p \right] \ldots \\ & \Rightarrow^* \epsilon \epsilon \epsilon \mathbf{D} \left[ r(q) \right] \mathbf{C} \left[ \mathsf{catch} \left( \mathsf{true}, \_, \mathsf{fail} \right), r(q) \right] \mathbf{C}_{\emptyset} \left[ p \right] \ldots \\ & \Rightarrow^* \epsilon \epsilon \epsilon \mathbf{D} \left[ \mathsf{throw} \left( q \right) \right] \mathbf{C}_{\emptyset} \left[ r(q) \right] \mathbf{C} \left[ \mathsf{catch} \left( \mathsf{true}, \_, \mathsf{fail} \right), r(q) \right] \ldots \end{split}
```

- r(X) :- throw(X).
  p :- catch(true, \_, fail), r(q).
- ► Empty suffixes do not count, so the default rule matches repeatedly:

$$\mathbf{D} \left[\!\!\left[\mathsf{catch}\left(p,C,\mathsf{true}\right)\right]\!\!\right] \bullet$$

- $\Rightarrow^* \epsilon \epsilon \epsilon \mathbf{D} \llbracket \mathsf{throw} (q) \rrbracket \mathbf{C}_{\emptyset} \llbracket r(q) \rrbracket$ 
  - $\mathbf{C} \, \llbracket \mathsf{catch} \, (\mathsf{true}, \_, \mathsf{fail}) \, , r(q) \rrbracket \, \mathbf{C}_{\emptyset} \, \llbracket p \rrbracket \, \mathbf{C}_{\emptyset} \, \llbracket \mathsf{catch} \, (p, \, C, \mathsf{true}) \rrbracket \, \bullet$
- $\Rightarrow \epsilon \epsilon \epsilon \mathsf{ThrowAnc} ((\mathsf{throw} (() q)), (\mathsf{throw} (() q))) \, \mathsf{C}_{\emptyset} \, \llbracket r(q) \rrbracket \\ \mathsf{C} \, \llbracket \mathsf{catch} \, (\mathsf{true}, \_, \mathsf{fail}) \, , r(q) \rrbracket \, \mathsf{C}_{\emptyset} \, \llbracket p \rrbracket \, \mathsf{C}_{\emptyset} \, \llbracket \mathsf{catch} \, (p, C, \mathsf{true}) \rrbracket \, \bullet$
- $\Rightarrow \epsilon \epsilon \epsilon \mathbf{uThrowAnc}((\mathsf{throw}(()q)), r(q))$ 
  - $\mathbf{C} \text{ [[catch (true, \_, fail), } r(q)]] } \mathbf{C}_{\emptyset} \text{ [[p]] } \mathbf{C}_{\emptyset} \text{ [[catch (p, C, true)]]} \bullet$
- $\Rightarrow \epsilon \epsilon \epsilon \mathsf{uuThrowAnc}\left((\mathsf{throw}\left(()\ q\right)), \mathsf{catch}\left(\mathsf{true}, \_, \mathsf{fail}\right), r(q)\right) \\ \mathbf{C}_{\emptyset} \llbracket p \rrbracket \mathbf{C}_{\emptyset} \llbracket \mathsf{catch}\left(p, C, \mathsf{true}\right) \rrbracket \bullet$
- $\Rightarrow \epsilon \epsilon \epsilon \mathbf{uuuThrowAnc}((\mathsf{throw}(() q)), p)$   $\mathbf{C}_{\emptyset} [\mathsf{catch}(p, C, \mathsf{true})] \bullet$

└─Throw & Catch Example

```
r(X) :- throw(X).
p :- catch(true, _, fail), r(q).
```

► Now the catch rule applies:

$$\Rightarrow^* \epsilon \epsilon \epsilon \mathbf{uuuThrowAnc} ((\mathsf{throw} (() \ q)), p)$$

$$\mathbf{C}_{\emptyset} \ [\mathsf{catch} (p, C, \mathsf{true})] \bullet$$

$$\Rightarrow \epsilon \epsilon \epsilon \mathbf{uuuu} [q/C] \mathbf{D} \ [\![\mathsf{true} [q/C]]\!] \ \mathbf{C}_{\emptyset} \ [\![C]\!] \bullet$$

$$\Rightarrow \epsilon \epsilon \epsilon \mathbf{uuuu} [q/C] \mathbf{C}_{\emptyset} \ [\![C]\!] \bullet$$

lacktriangle The substitution [q/C] tells us that all went as anticipated

- ▶ Dynamic updates are another of these marker-moving rewrite games.
- ► Markers:

**Pending** (X) Placeholder marker for rewrite in progress.

**Update** (X) Carrier of an update to the program store.

**Return** (X) Return message from program store.

► Rules for derivation:

$$\mathbf{D}\left[\left[\operatorname{asserta}\left(K\right),\vec{Y}
ight]
ight]$$

$$\Rightarrow$$
 **Update** (asserta  $(K)$ ) **Pending**  $\left(\mathbf{D} \left[ asserta (K), \vec{Y} \right] \right)$ 

$$\mathbf{D}\left[ \operatorname{retract}\left( K\right) ,\vec{Y}
ight]$$

$$\Rightarrow$$
 **Update** (retract ( $K$ )) **Pending** ( $\mathbf{D}$  [retract ( $K$ ),  $\vec{Y}$ ])

► Rules for updates:

$$\begin{array}{ll} \mathbf{P} \ \llbracket \Pi \rrbracket \ \mathbf{Update} \ (\mathsf{asserta} \ (K)) \\ \Rightarrow \mathbf{P} \ \llbracket \Pi' \rrbracket \ \mathbf{Return} \ (\epsilon) & \mathsf{if} \ \mathsf{addclause} \ (K,\Pi) = \Pi' \\ \mathbf{P} \ \llbracket \Pi \rrbracket \ \mathbf{Update} \ (\mathsf{retract} \ (K)) \\ \Rightarrow \mathbf{P} \ \llbracket \Pi' \rrbracket \ \mathbf{Return} \ (\sigma) & \mathsf{if} \ \mathsf{delfirstclause} \ (K,\Pi) = (\sigma,\Pi') \\ \mathbf{P} \ \llbracket \Pi \rrbracket \ \mathbf{Update} \ (\mathsf{retract} \ (K)) \\ \Rightarrow \mathbf{P} \ \llbracket \Pi \rrbracket \ \mathbf{Return} \ (\mathbf{u}) & \mathsf{if} \ \mathsf{delfirstclause} \ (K,\Pi) \ \mathsf{failed} \\ X \ \mathbf{Update} \ (H) \\ \Rightarrow \mathbf{Update} \ (H) X & \mathsf{if} \ X \neq \mathbf{P} \ \llbracket \Pi \rrbracket \end{array}$$

► Rules for returns:

$$\begin{array}{l} \textbf{Return}\,(\sigma)\, \textbf{Pending}\,(\textbf{D}\, \llbracket \mathsf{asserta}\,(K)\,,X \rrbracket) \\ \Rightarrow \textbf{D}\, \llbracket X \rrbracket \\ \textbf{Return}\,(\sigma)\, \textbf{Pending}\,(\textbf{D}\, \llbracket \mathsf{retract}\,(K)\,,X \rrbracket) \\ \Rightarrow \sigma \textbf{D}\, \llbracket X\sigma \rrbracket\, \textbf{C}\, \llbracket \mathsf{retract}\,(K)\,,X \rrbracket \\ \textbf{Return}\,(\textbf{u})\, \textbf{Pending}\,(\textbf{D}\, \llbracket \mathsf{retract}\,(K)\,,X \rrbracket) \\ \Rightarrow \textbf{u} \\ \textbf{Return}\,(\sigma)\, X \\ \Rightarrow X \textbf{Return}\,(\sigma) & \text{if } X \neq \textbf{Pending}\,(\cdot) \\ \end{array}$$

- ► A twist on the marker game which leaves markers in the derivation!
- ▶ Markers:

Mark(X) Leave answer that X.

**SetMark** (X) Metacommand to produce a mark.

**Collect** (X) Label inside continuations.

**SweepMarks** (X) Left-moving marker to collect answers.

**Return** (L) Right-moving marker with answer list.

► Core rules:

$$\begin{split} \mathbf{D} & \left[ \left[ \mathsf{findall} \left( X, G, L \right), \vec{Y} \right] \right] \Rightarrow \mathsf{StartMark}_{e} \mathsf{D} \left[ \mathsf{call} \left( G \right), \mathsf{SetMark} \left( X \right), \mathsf{fail} \right] \mathsf{C} \left[ \left[ \mathsf{Collect} \left( \mathsf{findall} \left( X, G, L \right) \right), \vec{Y} \right] \right] \\ & \mathsf{D} \left[ \left[ \mathsf{SetMark} \left( X \right), \vec{Y} \right] \right] \Rightarrow \mathsf{D} \left[ \left[ \vec{Y} \right] \right] \qquad \mathsf{where} \ X_{1} = \mathsf{fresh} \left( X \right) \\ & \mathsf{D} \left[ \left[ \mathsf{Collect} \left( \mathsf{findall} \left( X, G, L \right), \vec{Y} \right] \right] \Rightarrow \mathsf{SweepMarks} \left( \left[ \right] \right) \mathsf{Pending} \left( \mathsf{D} \left[ \left[ \mathsf{findall} \left( X, G, L \right), \vec{Y} \right] \right] \right) \\ & \mathsf{SweepMarks} \left( L \right) \Rightarrow \mathsf{SweepMarks} \left( \left[ \left( X \right] \right] \right) \\ & \mathsf{StartMarkSweepMarks} \left( L \right) \Rightarrow \mathsf{Return} \left( L \right) \\ & \mathsf{Return} \left( L \right) \Rightarrow \begin{cases} \sigma \mathsf{D} \left[ \left[ \vec{Y} \sigma \right] \right] \mathsf{C}_{\emptyset} \left[ \left[ \mathsf{findall} \left( X, G, L \right), \vec{Y} \right] \right] & \mathsf{if} \ \mathsf{unify} \left( L, L \right) = \sigma \\ \mathsf{if} \ \mathsf{unify} \left( L, L \right) \right) \ \mathsf{failed} \end{cases} \end{split}$$

- Disjunction would be easy, except for the transparency of cuts internal.
- ▶ The solution is using a disolved syntax as markers.
  - ► The paper creatively uses markers '(', ';', and ')'.
- ► Rules:

$$\mathbf{D} \begin{bmatrix} (,X,;,Y,),\vec{R} \end{bmatrix} \Rightarrow \epsilon \mathbf{D} \begin{bmatrix} X,;,Y,),\vec{R} \end{bmatrix} \mathbf{C} \begin{bmatrix} ;,X,;,Y,),\vec{R} \end{bmatrix}$$

$$\mathbf{D} \begin{bmatrix} ;,Y,\vec{R} \end{bmatrix} \Rightarrow \mathbf{D} \begin{bmatrix} ],\vec{R} \end{bmatrix}$$

$$\mathbf{uC} \begin{bmatrix} ;,X,;,Y,),\vec{R} \end{bmatrix} \Rightarrow \mathbf{D} \begin{bmatrix} ],\vec{R} \end{bmatrix}$$

$$\mathbf{D} \begin{bmatrix} [),\vec{R} \end{bmatrix} \Rightarrow \mathbf{D} \begin{bmatrix} \vec{R} \end{bmatrix}$$

- ► (For those following along, this is Section 4.4.)
- ► A popular idiom in Prolog is the repeat/cut/fail loop:

```
q :- repeat, p(X), (X = b, !; fail).
p(a).
p(b).
```

- p(c).
- What this program ought to do is iterate over p(X) until X = b, then abort.
  - ▶ It will never consider p(c).
- ► Let's get this started using the basic rule:

$$\mathbf{D} \llbracket q \rrbracket \Rightarrow \epsilon \mathbf{D} \llbracket \text{repeat}, p(X), (X = b, !; fail) \rrbracket \mathbf{C}_{\emptyset} \llbracket q \rrbracket$$

Disjunction Example

```
q:-repeat, p(X), (X = b, !; fail).
p(a).
p(b).
p(c).
Apply the rule for repeat, then find p(A):
D[a]
```

$$\begin{aligned} & \mathbf{D} \llbracket q \rrbracket \\ & \Rightarrow \ \epsilon \mathbf{D} \llbracket \mathsf{repeat}, p(X), (X = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\emptyset} \llbracket q \rrbracket \\ & \Rightarrow \ \epsilon \epsilon \mathbf{D} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \mathbf{C} \llbracket \mathsf{repeat}, \dots \rrbracket \mathbf{C}_{\emptyset} \llbracket q \rrbracket \\ & \Rightarrow \ \epsilon \epsilon [a/X] \mathbf{D} \llbracket \mathsf{true}, (a = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\phi} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \dots \\ & \Rightarrow \ \epsilon \epsilon [a/X] \mathbf{D} \llbracket (a = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\phi} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \dots \end{aligned}$$

```
q :- repeat, p(X), (X = b, !; fail).

p(a).
p(b).
p(c).
```

▶ Apply the rule for '(' then backtrack because  $a \neq b$ :

## $\mathbf{D} \llbracket q \rrbracket$

$$\Rightarrow^* \epsilon \epsilon [a/X] \mathbf{D} \llbracket (a = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\phi} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \dots$$

$$\Rightarrow \epsilon \epsilon [a/X] \epsilon \mathbf{D} \llbracket (a = b, !; \mathsf{fail}) \rrbracket \mathbf{C} \llbracket (a = b, !; \mathsf{fail}) \rrbracket \dots$$

$$\Rightarrow \epsilon \epsilon [a/X] \epsilon \mathbf{u} \mathbf{C} \llbracket (a = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\phi} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \dots$$

$$\Rightarrow \epsilon \epsilon [a/X] \epsilon \mathbf{u} \mathbf{D} \llbracket (a = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\phi} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \dots$$

$$\Rightarrow^2 \epsilon \epsilon [a/X] \epsilon \mathbf{u} \mathbf{u} \mathbf{D}_{\phi} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \mathbf{C} \llbracket (a = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\phi} \llbracket (a = b, !;$$

└ Disjunction Example

```
q :- repeat, p(X), (X = b, !; fail).

p(a).
p(b).
p(c).
```

▶ Find p(b), apply rule for '(', unification succeeds:

 $\Rightarrow \epsilon \epsilon [a/X] \epsilon u u [b/X] \epsilon \epsilon D [!; fail) ] C [b = b, !; fail) ...$ 

## $\mathbf{D} \llbracket q \rrbracket$

```
\Rightarrow^* \epsilon \epsilon [a/X] \epsilon \mathbf{uu} \mathbf{D}_{\phi} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \mathbf{C} \llbracket \mathsf{repeat}, \dots \rrbracket \mathbf{C}_{\emptyset} \llbracket q \rrbracket
\Rightarrow \epsilon \epsilon [a/X] \epsilon \mathbf{uu} [b/X] \mathbf{D} \llbracket (b = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\emptyset} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \dots
\Rightarrow \epsilon \epsilon [a/X] \epsilon \mathbf{uu} [b/X] \mathbf{D} \llbracket (b = b, !; \mathsf{fail}) \rrbracket \mathbf{C}_{\emptyset} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \dots
\Rightarrow \epsilon \epsilon [a/X] \epsilon \mathbf{uu} [b/X] \epsilon \mathbf{D} \llbracket b = b, !; \mathsf{fail}) \rrbracket \mathbf{C} \llbracket b = b, !; \mathsf{fail}) \rrbracket \dots
```

☐ Disjunction Example

```
q :- repeat, p(X), (X = b, !; fail).
p(a).
p(b).
p(c).
```

► Apply the rule for cut; see how high it goes:

$$\begin{split} \mathbf{D} & \llbracket q \rrbracket \\ \Rightarrow^* \epsilon \epsilon [a/X] \epsilon \mathbf{u} \mathbf{u} [b/X] \epsilon \epsilon \mathbf{D} \llbracket !; \mathsf{fail}) \rrbracket \, \mathbf{C} \llbracket b = b, !; \mathsf{fail}) \rrbracket \\ & \mathbf{C}_{\emptyset} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \, \mathbf{C} \llbracket \mathsf{repeat}, \dots \rrbracket \, \mathbf{C}_{\emptyset} \llbracket q \rrbracket \\ \Rightarrow^* \epsilon \epsilon [a/X] \epsilon \mathbf{u} \mathbf{u} [b/X] \epsilon \epsilon \mathbf{D} \llbracket ; \mathsf{fail}) \rrbracket \, \mathbf{C}_{\emptyset} \llbracket b = b, !; \mathsf{fail}) \rrbracket \\ & \mathbf{C}_{\emptyset} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \, \mathbf{C}_{\emptyset} \llbracket \mathsf{repeat}, \dots \rrbracket \, \mathbf{C}_{\emptyset} \llbracket q \rrbracket \\ \Rightarrow^2 \epsilon \epsilon [a/X] \epsilon \mathbf{u} \mathbf{u} [b/X] \epsilon \epsilon \mathbf{C}_{\emptyset} \llbracket b = b, !; \mathsf{fail}) \rrbracket \\ & \mathbf{C}_{\emptyset} \llbracket p(X), (X = b, !; \mathsf{fail}) \rrbracket \, \mathbf{C}_{\emptyset} \llbracket \mathsf{repeat}, \dots \rrbracket \, \mathbf{C}_{\emptyset} \llbracket q \rrbracket \end{split}$$

- ▶ Mostly to show we can; nothing new here.
- ▶ More markers (just two new ones):

Pending (X)Placeholder marker for rewrite in progress.ReturnLeftwards-moving completion marker.ThisBranch (P)Marker of conditional branch in progress.The (R, O)Rightwards-moving marker for C-rewriting.

- ► Uses exploded syntax of disjunction; has higher precendence than previous disjunction rules to deal with If/Then.
- ► The rules are as expected:

$$\begin{split} \mathbf{D} & \left[\!\!\left[ (,\mathit{lf} \rightarrow \mathit{Then}, ; , \mathit{Else}, ), \vec{Y} \right]\!\!\right] \Rightarrow \mathbf{D} \left[\!\!\left[ (,\mathsf{once}\left(\mathit{lf}\right), \mathsf{ThisBranch}\left(\mathsf{once}\left(\mathit{lf}\right)), \mathit{Then}, ; , \mathit{Else}, ), \vec{Y} \right]\!\!\right] \\ & \mathbf{D} \left[\!\!\left[ \mathsf{ThisBranch}\left( ()\mathit{Pre}), \vec{Y} \right]\!\!\right] \Rightarrow \mathsf{Pending}\left(\mathbf{D} \left[\!\!\left[ \vec{Y} \right]\!\!\right]\right) \mathsf{The}\left(\mathit{Pre}, \vec{Y}\right) \\ & \mathsf{The}\left(\mathit{Pre}, \mathit{Post}\right) \mathsf{C} \left[\!\!\left[ :, \vec{Y} \right]\!\!\right] \Rightarrow \mathit{ReturnC}_{\emptyset} \left[\!\!\left[ :, \vec{Y} \right]\!\!\right] \quad \text{if append}(\mathit{Pre}, \left[\mathsf{ThisBranch}\left(\mathit{Pre}\right) | \mathit{Post}\right]) = \mathsf{Y} \\ & \mathsf{The}\left(\mathit{Pre}, \mathit{Post}\right) \mathsf{C} \left[\!\!\left[ \vec{Y} \right]\!\!\right] \Rightarrow \mathsf{C} \left[\!\!\left[ \vec{Y} \right]\!\!\right] \mathsf{The}\left(\mathit{Pre}, \mathit{Post}\right) \quad \text{otherwise} \end{split}$$